

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
INFORMATION DISCLOSURE STATEMENT

Applicant: Cotner et al.

For: *High Performance Support for XA Protocols in a Clustered Shared Database*

Commissioner of Patents
and Trademarks
Washington, DC 20231

Sir:

Applicant is aware of the following references listed below, copies of which are enclosed:

U.S. PATENTS

5,668,943
5,790,788
5,793,962
5,805,786
5,896,503
6,014,669
2003/0018732
2003/0023898

FOREIGN PATENTS

JP6202996

LITERATURE

Hui-I Hsiao et al., "DLFM: A Transactional Resource Manager," IBM Almaden Research Center, 2000, pgs. 518-528.

C. Mohan et al., "Method for Distributed Transaction Commit and Recovery Using Byzantine Agreement With Clusters of Processors," IBM San Jose Research Laboratory, 1983, pgs. 89-103.

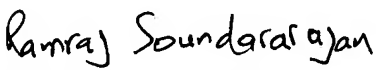
Liba Svobodova, "File Servers for Network-Based Distributed Systems, *Computing Surveys*, V16, N4, December 1984, pgs.353-372.

"Raj Tewari, "Advanced Database Systems," Springer-Verlag, New York, 1993, pgs. 277-287.

Yan Wang et al., "A Mobile Agent Based Protocol for Distributed Databases Access," *IEEE*, 2000, pgs. 2028-2033.

The above-listed references are, in the belief and opinion of the undersigned, the closest art of which the applicant is aware as of the date of execution of the Declaration in the above-captioned application.

Respectfully submitted
By Applicant's Representative,


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REVISED PTO FORM 1449 NOTICE OF REFERENCES CITED	SERIAL NO.	GROUP ART UNIT	ATTACHMENT TO PAPER NUMBER
	New Application	Unknown	
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U.S. PATENT DOCUMENTS						
INIT.	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	5,668,943	9/16/1997	Clement Attanasio et al.	395	182.05	5/24/1996
	5,790,788	8/04/1998	Peter Badovinatz et al.	395	200.31	7/23/1996
	5,793,962	8/11/1998	Peter Badovinatz et al.	395	200.31	4/30/1996
	5,805,786	9/08/1998	Peter Badovinatz et al.	395	182.02	7/23/1996
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	6,014,669	1/11/2000	Gregory Slaughter et al.	707	10	10/21/1997
	2003/0018732	1/23/2003	Dean Jacobs et al.	709	208	10/11/2001
	2003/0023898	1/30/2003	Dean Jacobs et al.	714	15	10/11/2001

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INIT.	DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUB-CLASS
	JP6202996	7/22/1994	Japan	Roger Brockmeyer et al.		

OTHER REFERENCES	
INIT.	(Include name of the author, title of article, title of publication, date, pertinent pages, volume-issue, publisher city and/or country where published, etc.)
	Hui-I Hsiao et al., "DLFM: A Transactional Resource Manager," IBM Almaden Research Center, 2000, pgs. 518-528.
	C. Mohan et al., "Method for Distributed Transaction Commit and Recovery Using Byzantine Agreement With Clusters of Processors," IBM San Jose Research Laboratory, 1983, pgs. 89-103.
	Liba Svobodova, "File Servers for Network-Based Distributed Systems, <i>Computing Surveys</i> , V16, N4, December 1984, pgs.353-372.
	"Raj Tewari, "Advanced Database Systems," Springer-Verlag, New York, 1993, pgs. 277-287.
	Yan Wang et al., "A Mobile Agent Based Protocol for Distributed Databases Access," <i>IEEE</i> , 2000, pgs. 2028-2033.

EXAMINER _____ DATE _____